

ARRA State Energy Program

# Non-Utility Scale Renewable Energy Application



State of Rhode Island  
Office of Energy Resources

Funding Opportunity Announcement  
RIOER-001

**State of Rhode Island  
Office of Energy Resources**

**Non-utility scale renewable energy**

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**State of Rhode Island  
Office of Energy Resources**

**Non-utility scale renewable energy funding opportunity description**

The Rhode Island Office of Energy Resources (RIOER) is soliciting applications for funding for non-utility scale renewable energy projects.

**Funding Source**

Funding for this program is available through a grant to Rhode Island from the federal Department of Energy's American Recovery and Reinvestment Act (ARRA) State Energy Program (SEP). Information on the State Energy Program can be found at [http://apps1.eere.energy.gov/state\\_energy\\_program/](http://apps1.eere.energy.gov/state_energy_program/). As this is an ARRA (stimulus) funded program, all applicable provisions and limitations on funds set forth in PL 111-05 shall be enforced. Further, this funding opportunity announcement is governed by the "Rules and Regulations for Non-Utility Scale Energy Projects," a Rhode Island regulation with the ERLID Number of 5926. This document can be found at: <http://sos.ri.gov/documents/archives/regdocs/released/pdf/DOA/5926.pdf>.

**Funding Purposes**

The purposes of the program are the following and the fund shall be administered in a manner that accomplishes these purposes:

- (a) to create and retain jobs in Rhode Island,
- (b) to realize energy cost savings,
- (c) to reduce dependence on non-renewable forms of energy, especially fuels imported from other nations,
- (d) to achieve environmental benefits, especially reductions in greenhouse gases,
- (e) to leverage project funds and revenues,
- (f) to facilitate market transformation,
- (g) to provide opportunities for persons in all communities in the state to undertake non-utility scale renewable energy projects by assuring that projects from diverse renewable energy sources can be funded from program, and
- (h) to comply with the applicable requirements of the Recovery Act.

**Eligible Entities**

Project shall have the following characteristics in order to be eligible for support from this program:

- (a) The project shall have as its primary purpose the development and/or implementation of a renewable energy resource in Rhode Island.
- (b) Projects shall be categorized by size as follows:
  - (1) Residential projects to dwelling units, including premises of twelve dwelling units or less, with renewable energy.

(2) Small commercial and industrial projects, projects that qualify for electrical rates for small commercial industrial electrical rates and premises with more than twelve dwelling units.

(3) Large commercial and industrial, projects that qualify for large commercial-industrial electrical rates.

(4) Community and institutional projects, projects that would supply renewable energy to one or more municipalities or to non-profit institutions or state agency institutional complexes that serve or house one thousand or more persons.

### **Funding Availability & Cost Sharing**

A total of \$8,395,000.00 is available through this announcement. Expected number of awards will vary, as funding limits vary per applicant type. Funding for each project shall not be greater than:

(1) [\$10,000] of support from the Fund per dwelling unit for residential projects,

(2) [\$ 722,000] of support from the Fund for commercial/industrial projects,

(5) [\$ 750,000] of support from the Fund for community and institutional projects.

The maximum level of support from this Fund shall be the funding level set forth in this section by type of entity or twenty-five percent (25%) of the total project cost, whichever amount is less. A project may involve the use of more than one renewable energy resources provided that the aggregate level of support from the Fund does not exceed the limitation set forth in this section.

The Office may administer a program for residential projects at the residence of individuals, including premises of four dwelling units or less, where the project shall not be greater than \$25,000 of support from the fund.

### **Ineligible Projects and Costs**

Projects and costs that are ineligible under the Recovery Act SEP shall be ineligible for support from the Program and will include but not be limited to:

- (1) Projects that are wholly funded from Federal, State, RI Economic Development Corporation (hereafter referred to as Corporation) sources or by utility administered programs and projects that have, as of December 11, 2009, a contract to be funded or partially funded by Corporation programs, or any utility funded program;
- (2) Projects to be completed for casinos or other gambling establishments, aquariums, zoos, golf courses, or for swimming pools;
- (3) Outdoor and low-efficiency wood boilers. Low-efficiency wood boilers shall be defined as any boiler that does not meet or exceed a minimum thermal efficiency of 83 as measured by testing thermal efficiency using either the EN

303-5 or ASHRAE 155P methods, and using the lower heating value of wood. Wood boilers that use a fuel source other than wood pellets, wood chips, or firewood are not eligible under this announcement.

- (4) Projects that are part of construction of new facilities and new construction commissioning;
- (5) Power quality, power factor, and power conditioning improvements;
- (6) Personal computers and vehicles are not eligible costs;
- (7) The Sub-Recipient's staff time for developing, designing, or implementing the project and in-kind services are not to be considered as cost-sharing;
- (8) Passive solar projects;
- (9) Projects that require the preparation of a Federal Environmental Impact Statement; only projects that are eligible for categorical exclusions or environmental assessments/finding of no significant impact shall be eligible; and;
- (10+ Projects that lack assurances that they will be installed correctly and safely.

### **Compliance with the Recovery Act**

All entities and/or persons receiving funding from the Program shall comply with applicable Recovery Act requirements and guidance. No person shall receive funding for a project that is ineligible under the Recovery Act State Energy Program. **For a list of supplemental terms and conditions for contracts and Subawards, please see Appendix A to regulation 5924, which may be found at:**

<http://sos.ri.gov/documents/archives/regdocs/released/pdf/DOA/5926.pdf>.

### **Duration of Awards**

The Program shall commence as of December 11, 2009 and conclude for the purposes of developing and supporting projects on March 31, 2012. However, project performance monitoring and reporting requirements shall continue as required by the Recovery Act. With the exception of performance monitoring and reporting requirements, all projects funded by this Program must be completed on or before March 31, 2012.

### **Funding Rounds**

There shall be a minimum of two funding rounds from the Program:

- (a) The first funding round shall total \$3.395 million with applications due by 5:00pm on **January 29, 2010**.
- (b) The second funding round shall total \$5.0 million with applications due by 5:00pm on **March 1, 2010**.
- (c) The first funding round may, in order to support one or more meritorious projects that would not be funded within the allocation set forth in paragraph (a) above, be increased by an amount not to exceed ten percent (10%) of the Recovery Act total funds allocated to the Program; in the event that the first

funding round is so increased, the second funding round shall be decreased by a corresponding amount.

(d) If funds from these two funding rounds, (a) and (b) above, are not fully obligated, the Office may establish one or more supplementary funding rounds to commit the un-obligated balance of the Fund available.

### **Coordination with Other Programs**

This Program shall be coordinated with other renewable energy programs in Rhode Island, including but not limited to the Renewable Energy Development Fund, in order to optimize the benefits of renewable energy development in the state to accomplish the purposes set forth in Regulation 4.01 and consistent with the applicable Recovery Act requirements.

### **Evaluation Criteria**

Applicants must meet a minimum threshold to be considered for funding. Beyond this threshold, applications will be ranked competitively. The threshold and competitive evaluation criteria are as follows:

(a) Threshold Criteria. All applications, in order to be considered for funding, shall (i) be complete and include all necessary assurances and (ii) demonstrate feasibility including: technical feasibility – applications must include documented evidence of technical feasibility for the proposed renewable energy technology, and financial viability – applications must include evidence of commitments or expressions of interest from all funding sources.

(b) Competitive Criteria. For each application scale and type of renewable energy resource, applications that meet all threshold criteria set forth in subsection (a) shall be ranked as follows:

1. Job creation/retention (40%)

- Full-time
- Part-time

2. Energy Savings (kwh equivalents) (30%)

- Annual reduction in natural gas consumption (mmcf),
- Annual reduction in electricity consumption (MWh),
- Annual reduction in electricity demand (MW),
- Annual reduction in fuel oil consumption (gallons),
- Annual reduction in propane consumption (gallons), or
- Annual reduction in gasoline and diesel fuel consumption (gallons).

3. Cost-Effectiveness Savings (10%)

- Estimated energy produced over the life of the project/project costs and project operation and maintenance costs.

#### 4. Funds Leveraged (20%)

- Funds leverage from the owner of the project.
- Funds leveraged from public sources other than the Program.
- Funds leveraged from private sources, including charitable and philanthropic sources.

(c) Bonus Criteria. To the competitive criteria set forth in subsection (b) above, there shall be added the following:

1. Benefits to low and moderate income households (up-to 5%).
2. Integration with other renewable or energy efficiency/energy conservation programs (up-to 5%).

### **Selection Process**

The application selection and award process shall be conducted in accordance with the applicable provisions of the Rhode Island General Laws §§37-2-1 *et. seq.* and the State of Rhode Island Procurement Regulations as last adopted in January of 2009.

### **Method of Disbursement of Funds**

The total amounts and types of disbursements from the Fund shall be at the sole discretion of the Office of Energy Resources subject only to the Office's application of the competitive and bonus criteria as set forth in this announcement. The Office may disburse from the Fund in the form of grants, rebates, loans, recoverable grants and other financial mechanisms, with or without security, for repayment, if any, and at rates, terms and other conditions as shall be deemed necessary, appropriate and in the best interest of the Fund as determined by the Office. The disbursement of funds may be in installments based on the level of completion of the project.

### **Repeat Funding and Maximum Funding Amount**

Sub-Recipients, affiliates of Sub-Recipients and individuals are ineligible for repeat funding for a project. No project shall receive more than Seven Hundred Fifty Thousand Dollars (\$750,000) from the Fund.

### **Superseding Regulations**

This funding opportunity announcement is governed by the "Rules and Regulations for Non-Utility Scale Energy Projects," a Rhode Island regulation with the ERLID Number of 5926, which supersedes any language found in the announcement. This regulation was posted with the Rhode Island Office of the Secretary of State on December 11, 2009.

For a copy of this regulation, please see:

<http://sos.ri.gov/documents/archives/regdocs/released/pdf/DOA/5924.pdf>.

**State of Rhode Island  
Office of Energy Resources**

**Non-utility scale renewable energy funding opportunity instructions**

**Section I**

**Applicant Information**

The purpose of this section is to gather contact information of the applicant. The applicant shall be deemed the **primary contact** for purposes of the application. There are 10 fields in Section I, which are as follows:

1. **First Name** - The first name or given name of the applicant.
2. **Last Name** – The last name or surname of the applicant.
3. **Middle Name** – The middle name of the applicant. This field is recommended, but not required.
4. **Address** – The mailing address of the applicant. The mailing address may be the street number and street name of the applicant, or a Post Office Box,
5. **City/Town** – The city/town corresponding to the mailing address of the applicant.
6. **State** – The state corresponding to the mailing address of the applicant.
7. **Zip Code** – The 5-digit Zip Code corresponding to the mailing address of the applicant.
8. **Phone** – The primary phone number of the applicant to be used during business hours.
9. **Fax** – The primary fax number of the applicant to be used during business hours.
10. **E-Mail** – A valid working e-mail address for the applicant. Official correspondence may be sent by e-mail.

**Section II**

**Project Site Information**

The purpose of this section is to collect information on the physical location on which the project is to occur. The Office understands that entities and persons that apply for funding for projects to occur within the State of Rhode Island may have mailing addresses that differ from the project site location. **This section is not required if the applicant is an individual and all of the fields are the same as the applicant information requested above. If a P.O. Box is given above, the Project Site Address MUST be given in this section.**



- 11. Company Name** – The official name or DBA of the Company. Company means the legal name of the company, including any suffix or abbreviation.
- 12. CEO/Owner/Director of Company** – The responsible officer of the Company, if different from the primary applicant.
- 13. Project Site Address** – The physical street number and name where the project is to occur. This section is required if it differs from the mailing address given above.
- 14. Project Site City** – The physical city/town in which the project is to occur.
- 15. Project Site State** – All projects must occur within the physical boundaries of the State of Rhode Island. Proposed projects outside of Rhode Island will not be considered for funding.
- 16. Project Site Zip Code** – The Zip code corresponding to the Project Site Address

### Section III

#### Renewable Application Information

The purpose of this section is to gather information on the renewable energy system and project for which the applicant is applying. All fields are required for combined heat and power (cogeneration) systems. For systems generating only power, field #20 may be left blank. For systems generating only heat, fields #18 and 19 may be left blank.

- 17. Type of Renewable Energy of Interest** – Use the drop-down menu to select the type of renewable energy that is of interest in this project. Only eligible renewable energy sources can be selected as defined in 50A-6 of the Regulations of the Department of Environmental Management. If your project includes more than one type of renewable energy, please select the renewable energy source with the greatest potential capacity or heat generation here and detail all other sources, capacities, etc. in Section VII.
- 18. System Capacity** – If the renewable energy system applied for generates power, input the system capacity in Kilowatts (kW) here. This information may be obtained from the system manufacturer, vendor or contractor.
- 19. System Electrical Generation** – If the renewable energy system applied for generates power, input the expected annual electrical generation in Kilowatt-hours (kWh) per year here. If the system applied for has a range of expected annual electrical generation, input the median of that range.
- 20. System Heat Production** – If the renewable energy system applied for generates heat, input the expected annual heat production in British Thermal Units (BTUs) here.
- 21. Type of Project Submission** – Please select whether this project is a(n) residential, small commercial or industrial, large commercial or industrial, or

community or institutional project from the drop-down menu. Project submission types are defined as follows:

- a. Residential projects are to supply dwelling units with renewable energy.
- b. Small Commercial or Industrial projects are facilities with an average demand usage of 200kW per month or less and are to supply facilities of all types of entities, including facilities of non-profit corporations, as defined in RIGL § 7-6-2, and charitable, educational and religious organizations and state and political subdivisions as described in RIGL § 44-18-30(5)(8), that qualify for electrical rates for Small Commercial/Industrial electrical rates and premises with more than twelve dwelling units with renewable energy.
- c. Large Commercial or Industrial projects are facilities with an average demand usage of greater than 200kW per month and are to supply facilities of all types of entities including facilities of non-profit corporations, as defined in RIGL § 7-6-2, and charitable, educational and religious organizations and state and political subdivisions as described in RIGL § 44-18-30(5)(8), that qualify for electrical rates for Large Commercial/Industrial electrical rates.
- d. Community and institutional projects are projects that would supply renewable energy to one or more municipalities or to hospital or educational institutions or state agency institutional complexes that serve or house one thousand or more persons.

**22. Is Amount Requested Over \$25,000** – Select Yes or No from the drop-down menu. This question applies only to the amount requested from this program, and not to total project cost.

**23. Amount Requested** – Input the total amount requested in United States Dollars. This question applies only to the amount requested from this program, and not to total project cost.

**24. Total Cost of Project** – Input the total cost of the proposed project in United States Dollars. This means the sum of the amount requested and all other funds required to complete the project. For more information, see the section **Funding Availability & Cost Sharing** above.

**25. Projected Start Date** – Please enter the projected date on which the project will start. Enter the date in the following format: mm/dd/yyyy. The Fund shall not reimburse for projects already underway as of December 11, 2009. See the section **Duration of Awards** above for more information.

**26. Projected Completion Date** – Please enter the projected date on which the project will be completed. Enter the date in the following format: mm/dd/yyyy. This date may be no later than March 31, 2012. Please be advised that ARRA monitoring and reporting requirements may continue to apply after this date. See the section **Duration of Awards** above for more information.

## Section IV

### Job Creation and Retention Information

The purpose of this section is to gather job creation and retention data that is required for all awards under the American Recovery and Reinvestment Act. All fields in Section IV are required.

- 27. Estimated # of Hours to Complete the Project** – Enter the estimated number of work hours that will be created by funding this project.
- 28. Job Duration (Weeks)** – Enter the estimated number of work weeks it will take to complete the project.

## Section V

### System Life Information

The purpose of this section is to gather information on the life expectancy, warranty, and maintenance cost of the proposed renewable energy system. All fields are required.

- 29. System Life Expectancy** – Input the life expectancy of the proposed renewable energy system in years.
- 30. Warranty Duration** – Input the duration of any warranty associated with the proposed renewable energy system in months.
- 33. Gunko cvgf 'O clpygpcpeg'Eqw/Qxgt 'Nhh'Gzr gewcpe{** – Input the estimated maintenance cost over the life of the renewable energy project in US dollars.

## Section VI

### Additional Applicant Information

The purpose of this section is to gather additional applicant information that may trigger applicable laws or programs or that may trigger bonuses in the evaluation criteria. All fields in this section are required.

**32. Was the Property Built Before 1960?** – Select Yes or No from the drop down menu

**33. Is this Property in a Historic District?** – Select Yes, No or Not Sure from the drop down menu. Hqt'o qtg"lphqto cvkqp."ugg"lgo "62"cv"

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**34. Is the Project Beneficiary LIHEAP Eligible?** – Select Yes or No from the drop-down menu. A LIHEAP beneficiary resides in a residential unit an earns an amount less than or equal to 60% of Rhode Island state median income. Income guidelines are as follows:

Family Size      12-Month Income      3-Month Income      1-Month Income

1	25,971	6,493	2,164
2	33,962	8,490	2,830
3	41,953	10,488	3,496
4	49,945	12,486	4,162
5	57,936	14,484	4,828
6	65,927	16,482	5,494
7	67,426	16,857	5,618
8	68,924	17,231	5,744
9	70,422	17,605	5,868
10	71,920	17,980	5,993
11	73,419	18,355	6,118
12	74,916	18,729	6,243

## Section VII

### Project Description

The purpose of this section is to obtain a project description of one page or less in a font no smaller than 11 point. The project description shall set forth:

- (1) The nature of the proposed project
- (2) The location of the project
- (3) The type or types of renewable energy that are the subject of the proposed project
- (4) The energy savings of the proposed project (kWh equivalents) including, where applicable, the:
  - a. Annual reduction in natural gas consumption (mmcf),
  - b. Annual reduction in electricity consumption (MWh),
  - c. Annual reduction in electricity demand (MW),
  - d. Annual reduction in fuel oil consumption (gallons),
  - e. Annual reduction in propane consumption (gallons), or
  - f. Annual reduction in gasoline and diesel fuel consumption (gallons).
- (5) How the proposed project addresses the program purposes set forth in the section **Funding Purposes** on page 2 of this funding opportunity announcement
- (6) The extent to which it is coordinated with other renewable energy programs in Rhode Island, and
- (7) The amount and sources of funds leveraged through this project.

## Section VIII

### Project Schedule

The purpose of this section is to obtain a complete schedule of all major activities associated with the execution of a project. Provide a project schedule including all major activities from notice to proceed to project operation. See Section XI for more information.

## Section IX

### Qualification Statement

The purpose of this section is to obtain a statement of qualifications assuring that the person or persons who will perform the proposed project have adequate experience and financial ability to execute the project. The qualification statement shall set forth:

- (1) The applicant's experience in renewable energy projects of the kind of the proposed project. If the project involves a team of persons provide as **Supplementary Documentation** to the application the experience of key team members and an organization chart for the project team



indicating the name of each team member, the team reporting structure and a narrative describing the responsibility of each team member.

- (2) Financial information demonstrating the capability of the person or team to complete the project successfully. Audited financial statements are not required for this application, but will be prior to the final award for projects other than residential projects involving four dwelling units or less
- (3) Other information, at the discretion of the proposer, that demonstrates the person's or team's ability to achieve the purposes set forth in the section **Funding Purposes** on page 2 of this funding opportunity announcement

## Section X

### Project Assurances

The purpose of this section is to ensure compliance with applicable state and federal laws. Project assurances shall include:

- (1) Assurances that the project will comply with the applicable requirements and guidance of the Recovery Act
- (2) Assurances that the project will comply with applicable provisions of municipal comprehensive plans, zoning ordinances, the Building Code, and state agency rules and regulations
- (3) Assurances that the project will comply with applicable requirements for protection of historic resources as administered by the Rhode Island Historical Preservation and Heritage Commission pursuant to section 106 of the National Historic Preservation Act
- (4) Assurance that there will be an independent inspection of the project after its completion to determine its consistency with the project application and design and its operational capability to meet energy projection levels
- (5) Assurance that the project will be completed, verified and inspected on or before March 21, 2012, and
- (6) Assurance that the project meets the definition of a non-utility scale project.

By checking the box in the project application, the applicant agrees to provide all assurances listed above as well as to follow the Rules and Regulations for Non-Utility Scale Energy Projects (ERLID Number 5926). The applicant must also print and sign as assurance a physical copy of this application to be delivered to the Rhode Island Office of Energy Resources on or before the dates set forth above in the section entitled **Funding Rounds** on page 4 of this funding opportunity announcement. See Section XI for more.

## Section XI

### Supplementary Documentation

Supplementary documentation may be provided related to Sections VIII-X of this funding opportunity announcement. There may be no more than 10 pages of supplementary documentation in a font no smaller than 11 point. **No supplementary documentation pggf u'tq be provided for residential projects involving four dwelling units or less.**

- (1) Supplementary documents are requested for **Section VIII: Project Schedule** only if the complexity of the project merits additional pages for timelines and project schedules.
- (2) Supplementary documents are **required for Section IX: Qualification Statement** only in the event that a team of persons is involved in the project.
- (3) Supplementary documents are requested for **Section X: Project Assurances** only if additional documentation is deemed by the applicant to be valuable to the office in ensuring compliance with applicable state and federal laws.

## Section XII

### Submission Instructions

Applicants are **required** to submit the application in two (2) ways. These are:

- (1) By clicking the submit button on the application package and subsequently sending the email generated by clicking the submit button
- (2) By signing and mailing the physical application to:

Office of Energy Resources  
ATTN: Funding Notice RIOER-001  
One Capitol Hill  
Providence, RI 02908

Applicants other than for residential projects involving four dwelling units or less shall also provide their application, including supplementary documentation, in electronic format (CD-Rom, Diskette, or USB Flash Drive). Microsoft Word (.doc), Excel (.xls) or Adobe Acrobat (.pdf) format is preferable. Only one electronic copy is required. The CD/Diskette/Flash drive should be included in with the physical application and marked "original." All physical applications must include a filled-out W-9 form (attached).

### Contact Information

Daniel T. Carrigg  
Chief, Program Development  
Rhode Island Office of Energy Resources  
401-574-9104  
[dcarrigg@energy.ri.gov](mailto:dcarrigg@energy.ri.gov)

**State of Rhode Island  
Office of Energy Resources**

**Non-utility scale renewable energy application**

**Section I: Applicant Information**

1. First Name.....
2. Last Name.....
3. Middle Name.....
4. Address.....
5. City/Town.....
6. State.....
7. Zip Code.....
8. Phone.....
9. Fax.....
10. E-Mail.....

**Section II: Project Site Information (if not the same as above)**

11. Company Name (if applicable).....
12. CEO/Owner/Director of Company.....
13. Project Site Address (if not the same as above)...
14. Project Site City.....
15. Project Site State.....1. First
16. Project Site Zip Code.....

**State of Rhode Island  
Office of Energy Resources**

**Non-utility scale renewable energy application**

**Section III. Renewable Application Information**

- 17. Type of Renewable Energy of Interest.....
- 18. System Capacity (kW).....
- 19. System Electrical Generation (kWh per year).....
- 20. System Heat Production (BTUs).....
- 21. Type of Project Submission.....
- 22. Is Amount Requested Over \$25,000.....
- 23. Amount Requested.....
- 24. Total Cost of Project.....
- 25. Projected Start Date.....
- 26. Projected Completion Date.....

**Section IV: Job Creation and Retention Information**

- 27. Gunko cvgf '%qh'J qwtu'q'Ego r rgvg'Rtqlgev.....
- 28. Lqd'F wtcvkqp '\*Y ggm+(0000000000).....

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**State of Rhode Island  
Office of Energy Resources**

**Non-utility scale renewable energy application**

**Section V: System Life Information**

4; . System Effective Life Expectancy (in years).....

32. Warranty Duration (in months).....

33. Est. Maintenance Cost over Life Expectancy.....

**Section VI: Additional Applicant Information**

34. Y cu'yj g'r tqr gtv{ "dwn'dghqtg"3; 82A(0000000000).....

35. Is this Property in an Historic District?.....

36. Is thg'Rtqlgev'Dgpghkct{ 'NKH GCR'Grki kdr0.....

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**State of Rhode Island  
Office of Energy Resources**

**Non-utility scale renewable energy application**

**Section VII: Project Description**

**State of Rhode Island  
Office of Energy Resources**

**Non-utility scale renewable energy application**

**Section VIII: Project Schedule**

**State of Rhode Island  
Office of Energy Resources**

**Non-utility scale renewable energy application**

**Section IX: Qualification Statement**

**State of Rhode Island  
Office of Energy Resources**

**Non-utility scale renewable energy application**

**Section X: Project Assurances**

By Checking this Box I Agree to Provide Assurance to the State of Rhode Island that I have read and will follow the Rules and Regulations for Non-Utility Scale Energy Projects (ERLID Number 5926).

Further, I provide the following assurances:

1. Assurances that the project will comply with requirements and guidance of the Recovery Act.  
 \*\*\*\*\*Vj ku'penw gu'Fcxku/Dceqp"Y ci g'Tgs wkt go gpw'f gckrgf "cv'j wr <ly y y Oy f qrt qx lf dcCur z%20
2. Assurances that the project will comply with applicable provisions of municipal comprehensive plans, zoning ordinances, the Building Code, and the state agency rules and regulations.
3. Assurance that the project will comply with applicable requirements for protection of historic resources as administered by the Rhode Island Historical Preservation and Heritage Commission pursuant to section 106 of the National Historic Preservation Act.
4. Assurance that there will be an independent inspection of the project after its completion to determine its consistency with the project application and design and its operational capability to meet energy production levels.
5. Assurance that the project will be completed, verified and inspected on or before March 31, 2010.
6. Assurance that the project meets the definition of a non-utility scale project.

**SIGN HERE**

NAME

DATE

**State of Rhode Island**  
**PAYER'S REQUEST FOR TAXPAYER**  
**IDENTIFICATION NUMBER AND CERTIFICATION**

**THE IRS REQUIRES THAT YOU FURNISH YOUR TAXPAYER IDENTIFICATION NUMBER TO US. FAILURE TO PROVIDE THIS INFORMATION CAN RESULT IN A \$50 PENALTY BY THE IRS. IF YOU ARE AN INDIVIDUAL, PLEASE PROVIDE US WITH YOUR SOCIAL SECURITY NUMBER (SSN) IN THE SPACE INDICATED BELOW. IF YOU ARE A COMPANY OR A CORPORATION, PLEASE PROVIDE US WITH YOUR EMPLOYER IDENTIFICATION NUMBER (EIN) WHERE INDICATED.**

**Taxpayer Identification Number (T.I.N.)**

Enter your taxpayer identification number in the appropriate box. For most individuals, this is your social security number.

**Social Security No. (SSN)**

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**Employer ID No. (EIN)**

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**NAME****ADDRESS****(REMITTANCE ADDRESS, IF DIFFERENT)****CITY, STATE AND ZIP CODE****CERTIFICATION:** Under penalties of perjury, I certify that:

- (1) The number shown on this form is my correct Taxpayer Identification Number (or I am waiting for a number to be issued to me), **and**
- (2) I am not subject to backup withholding either because: (A) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (B) the IRS has notified me that I am no longer subject to backup withholding.
- (3) As it relates to the "E-Verify" program, I/We certify that I/We have registered to utilize the e-verify program ([www.dhs.gov/E-Verify](http://www.dhs.gov/E-Verify)) to ensure compliance with federal and state law. I understand and agree that I am required to continue to utilize the services of the E-Verify program for as long as I continue to do business with the State of Rhode Island. I further understand that my failure to continue to utilize the services of the E-Verify program will adversely affect my ability to continue to do business with the State of Rhode Island and my ability to do business with the State of Rhode Island in the future.

**Certification Instructions** -- You must cross out item (2) above if you have been notified by IRS that you are subject to backup withholding because of under-reporting interest or dividends on your tax return. However, if after being notified by IRS that you were subject to backup withholding you received another notification from IRS that you are no longer subject to backup withholding, do not cross out item (2).

**PLEASE SIGN HERE**

SIGNATURE \_\_\_\_\_ TITLE \_\_\_\_\_ DATE \_\_\_\_\_ TEL NO. \_\_\_\_\_

**BUSINESS DESIGNATION:**

Please Check One:: Individual ☐ Medical Services Corporation ☐ Government/Nonprofit Corporation ☐  
 Partnership ☐ Corporation ☐ Trust/Estate ☐ Legal Services Corporation ☐

**NAME:**-- Be sure to enter your full and correct name as listed in the IRS file for you or your business. **ADDRESS, CITY, STATE AND ZIP CODE** -- Enter your primary business address and remittance address if different from your primary address). If you operate a business at more than one location, adhere to the following:

- 1) Same T.I.N. with more than one location -- attach a list of location addresses with remittance address for each location and indicate to which location the year-end tax information return should be mailed.
- 2) Different T.I.N. for each different location -- submit a completed W-9 form for each T.I.N. and location. (One year-end tax information return will be reported for each T.I.N. and remittance address.)

**CERTIFICATION** -- Sign the certification, enter your title, date, and your telephone number (including area code and extension).

**BUSINESS TYPE CHECK-OFF** -- Check the appropriate box for the type of business ownership.

**Mail to: Supplier Coordinator, One Capitol Hill, Providence, RI 02908**